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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/923,035	
	Filing Date	August 6, 2001	
	First Named Inventor	John Nafeh	
	Art Unit	3692	
	Examiner Name	Elda G. Milef	
Total Number of Pages in This Submission	12	Attorney Docket Number	PA3817US

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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
Firm Name	Carr & Ferrell LLP Customer No. 22830		
Signature	<i>K. Brian Bathurst</i>		
Printed name	K. Brian Bathurst		
Date	April 11, 2008	Reg. No.	51,442

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**IN THE UNITED STATES PATENT AND TRADEMARK
OFFICE**

APPLICANTS: John Nafeh and Kenton K. Yee
SERIAL NO.: 09/923,035
FILING DATE: August 6, 2001
TITLE: Methods and Apparatus for Formulation, Initial Public or Private
Offering, and Secondary Market Trading of Risk Management
Contracts
EXAMINER: Milef, Elda G.
ART UNIT: 3692
CONF. NO.: 8692
ATTY.DKT.NO.: PA3817US

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RESPONSE AFTER FINAL REJECTION

Dear Examiner Milef:

In response to the Final Rejection mailed on February 11, 2008, the following
remarks are provided and Applicants respectfully request entry of the same: